



# Derating Of Tantalum & Niobium Oxide Capacitors

Do you need voltage de-rating in all applications? Or why can't a tantalum be more like a ceramic ?



# CONTENT

- **INTRODUCTION**
- **STEADY-STATE RELIABILITY**
- **DYNAMIC RELIABILITY**
- **AVX DESIGN AND VERIFICATION**
- **NIOBIUM VERSES TANTALUM**
- **EASY TO USE SOFTWARE TOOL**



## Introduction - Reliability

- ◆ Ideal Solid Electrolytic Capacitor – AVX Goal
  - Zero (0) Voltage derating required
  - Zero (0) Impedance circuit use
  - Zero (0) failures
  
- ◆ Design Rules Tantalum (General Industry)
  - When circuit impedance less than 0.1ohm per volt e.g battery line use  $0.3 \times V_{rated}$ , in all other cases use  $0.5 \times V_{rated}$ .
  
- ◆ Design Rules
  - General 50% voltage derating, in very low impedance circuits 70%
  - For below 10v use 80% derate condition



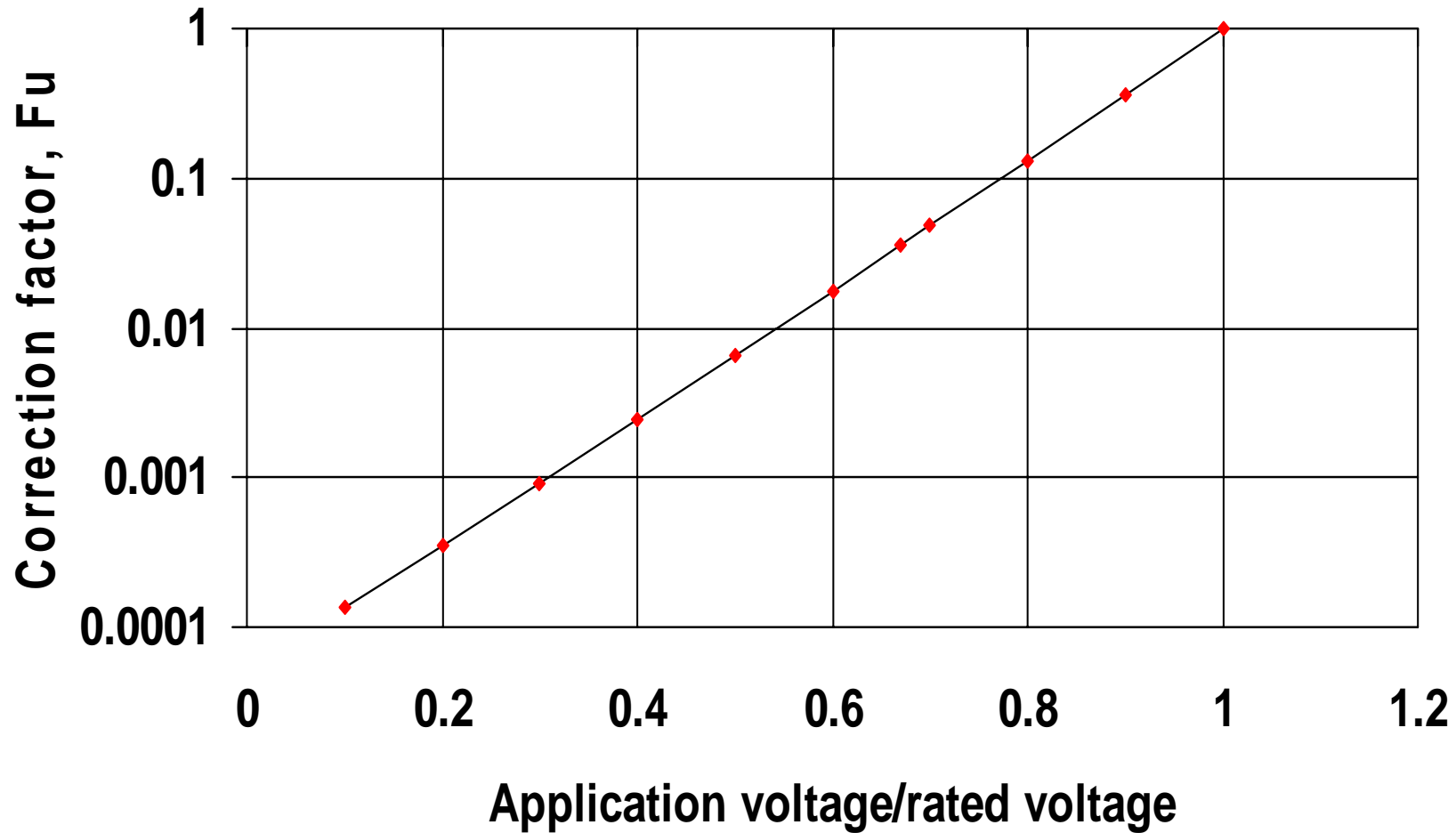
# Reliability

## ◆ Reliability Management

- Voltage de-rating has a powerful influence on application failure rate for BOTH steady-state and Dynamic reliability.
- Voltage de-rating to counter the influences of : temperature, base failure rate, circuit impedance (peak currents) and transients.
- Need for voltage de-rating can be reduced by use of Soft – Start circuits, added series resistance (peak current limited), design control, temperature control, high reliability series capacitors.
- Most voltage de-rating is used to prevent failures due to high peak currents



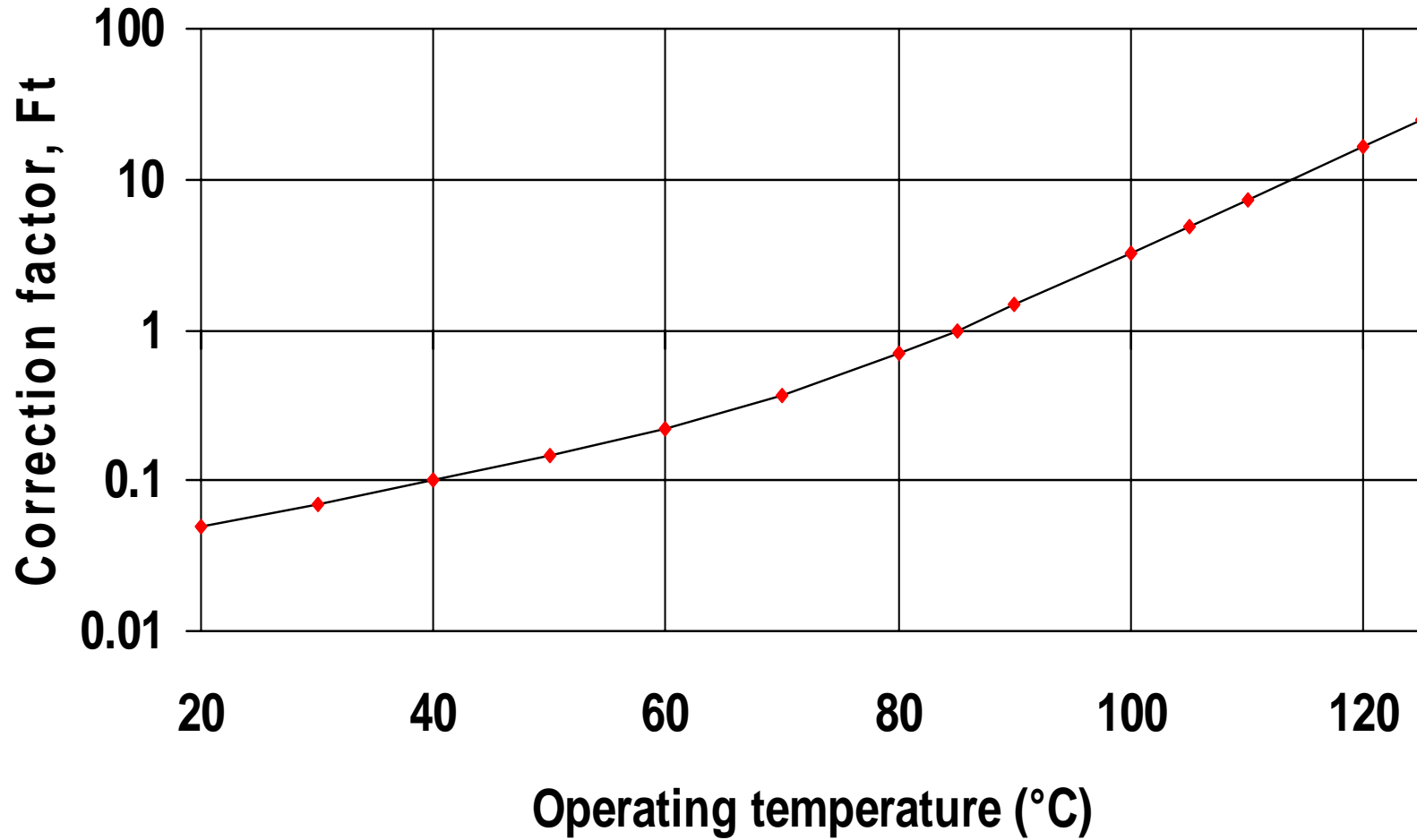
# Reliability - Voltage De-rating



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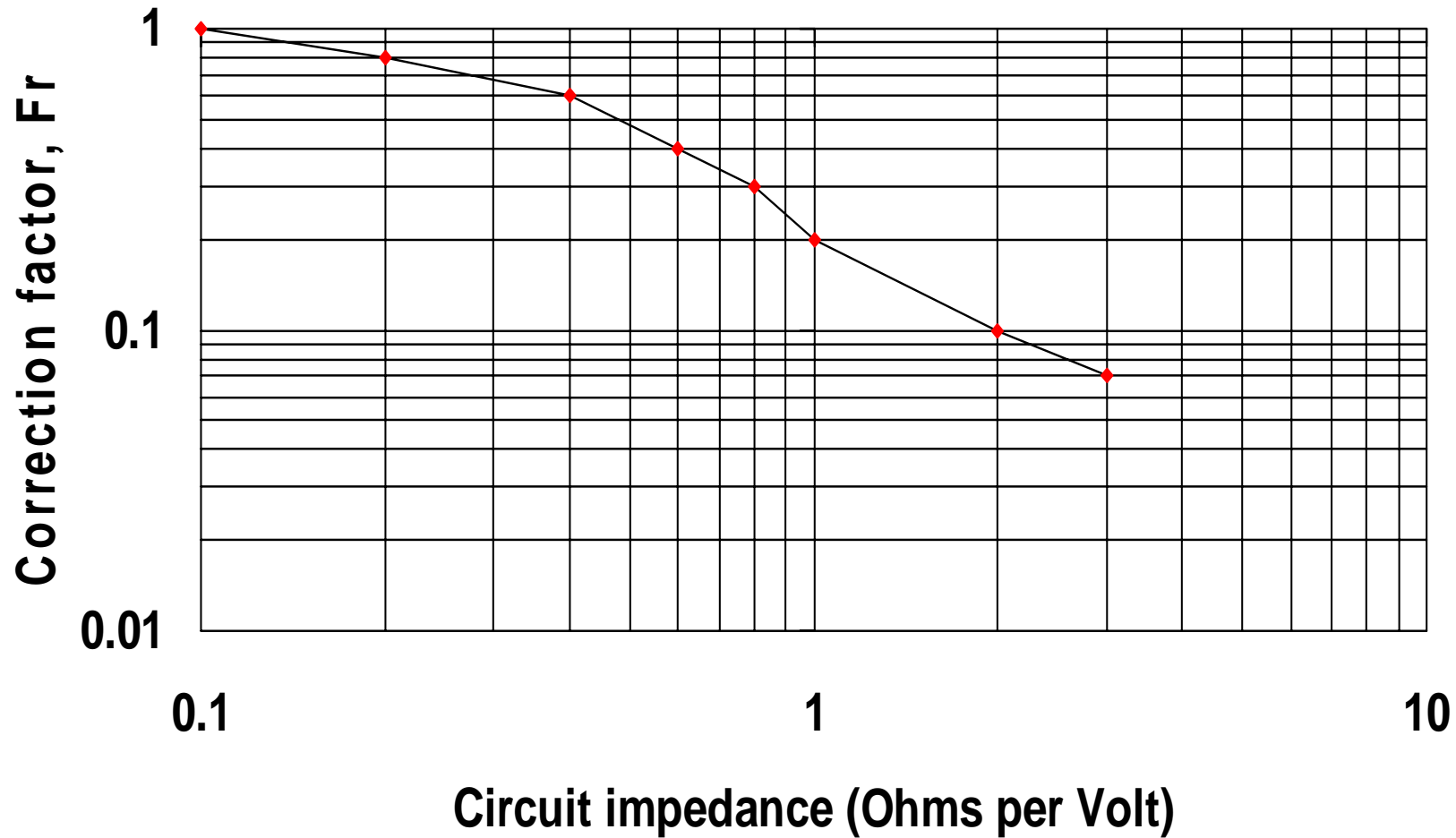
# Reliability - Temperature



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# Reliability – Circuit Impedance



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# STEADY STATE RELIABILITY

$$F = F_u \times F_t \times F_r \times F_b$$

**F<sub>u</sub>** – correction factor due to voltage derating

4 ranges within 10-100% rated voltage

**F<sub>t</sub>** – correction factor due to operating temperature

2 ranges within temperature range 25/125°C

**F<sub>r</sub>** – correction factor due to series resistance

2 ranges within 0.1-10 Ohms

**F<sub>b</sub>** – basic failure rate level

1%/1000 hours for standard product





# EXAMPLE CALCULATION

## Application conditions

85°C, 0.1 Ohm/volt, 5 volt rail

basic failure rate

$$\text{Failure rate} = F_u \times F_t \times F_r \times 1\%/1000 \text{ hours}$$

## 6.3 volt capacitor

$$F_u = 0.12, F_t = 1, F_r = 1$$

$$F = 0.12 \times 1 \times 1 \times 1\%/1000 \text{ Hrs} \\ = \mathbf{0.12 \% / 1000 \text{ Hrs}}$$

$$\text{MTBF} = 10^5 / 0.12 \\ = 833,333 \text{ Hrs} \\ = 34,722 \text{ days} \\ = \mathbf{95 \text{ Years}}$$

## 10 volt capacitor

$$F_u = 0.007, F_t = 1, F_r = 1$$

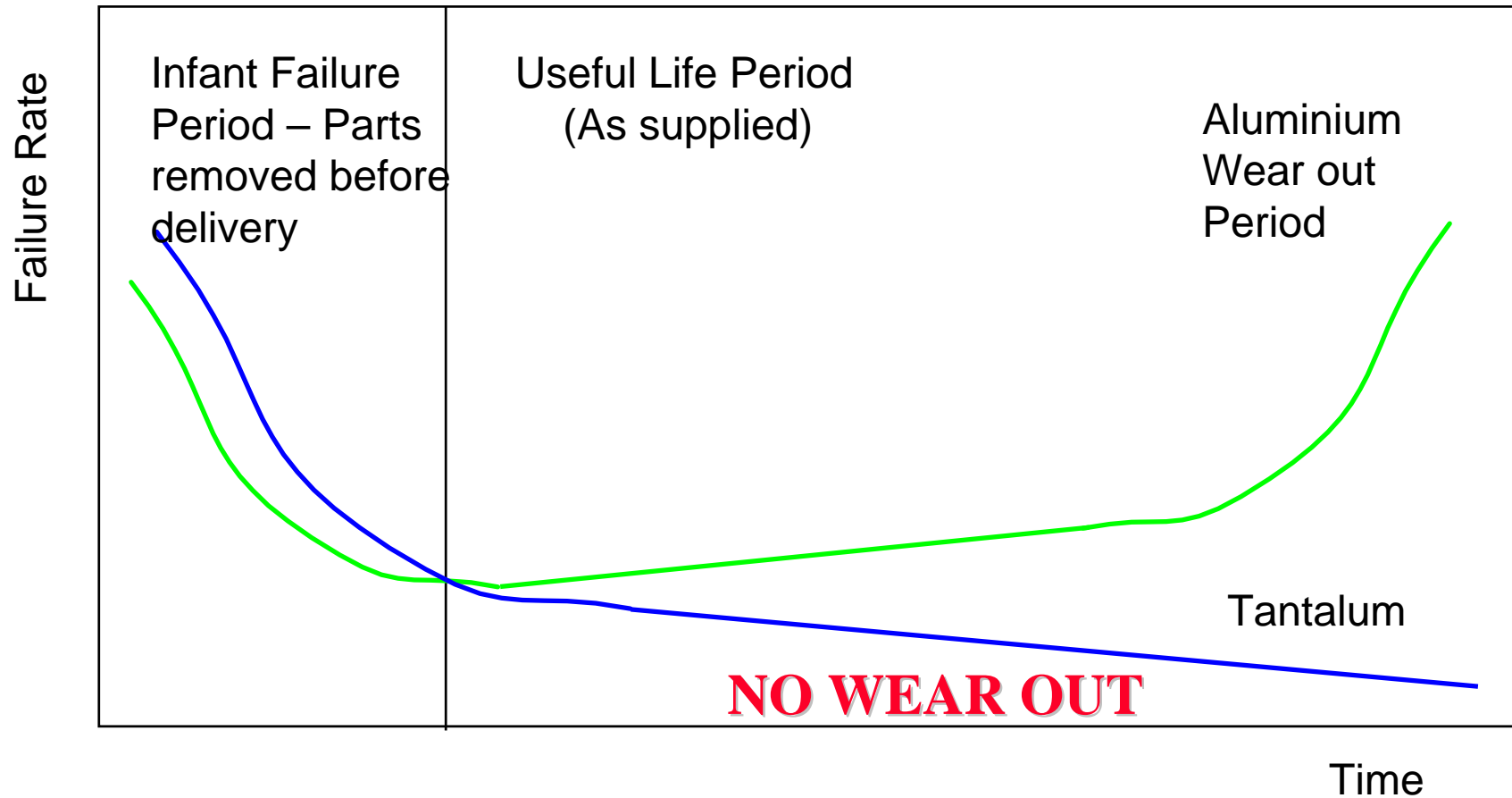
$$F = 0.007 \times 1 \times 1 \times 1\%/1000 \text{ Hrs} \\ = \mathbf{0.007 \% / 1000 \text{ Hrs}}$$

$$\text{MTBF} = 10^5 / 0.007 \\ = 14,285,238 \text{ Hrs} \\ = 595,238 \text{ days} \\ = \mathbf{1,631 \text{ Years}}$$



# FAILURE RATE CURVE

## STEADY STATE FAILURE RATE



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# Dynamic Reliability

- Why does voltage de-rating play such an important role in both steady-state and dynamic reliability ?
- The role of self-healing in the 'no wear out' mechanism
- What occurs if self healing is overcome and becomes a 'hot-spot'
- Preventative measures
- Risk reduction and elimination



# Circuit Impedance

**Input Side** - Circuit Impedance is very **LOW**  $\lll 0.1$  Ohms/volt

Assume **0.1 Ohms** maximum impedance  
&  
Input Voltage is **4.5V**

$$\therefore I = V / R = 4.5\text{v} / 0.1\text{ohms} = 45\text{Amps} !!$$

Can a Tantalum Capacitor take this?

**Yes - IF suitable derating is applied**

This current is classed as Surge

Very high levels of voltage derating (70%) for Tantalum capacitors should be used on the input if no other solution is possible.

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# Reliability

- ◆ Improvements made by AVX include :
  
- ◆ Surge robust designs
  - Slurry Manganese for even current distribution over the anode
  - Hi purity tantalum anodes for low defect density dielectric
  - High strength anodes – good thermal conductivity
  - Shell Formation – high localised dielectric thickness on anode surface to reduce localised electrical field strength
  
- ◆ Verification of design and process
  - 100% very low impedance (high current) burn-in
  - 100% Dynamic Surge – high peak current and current monitor



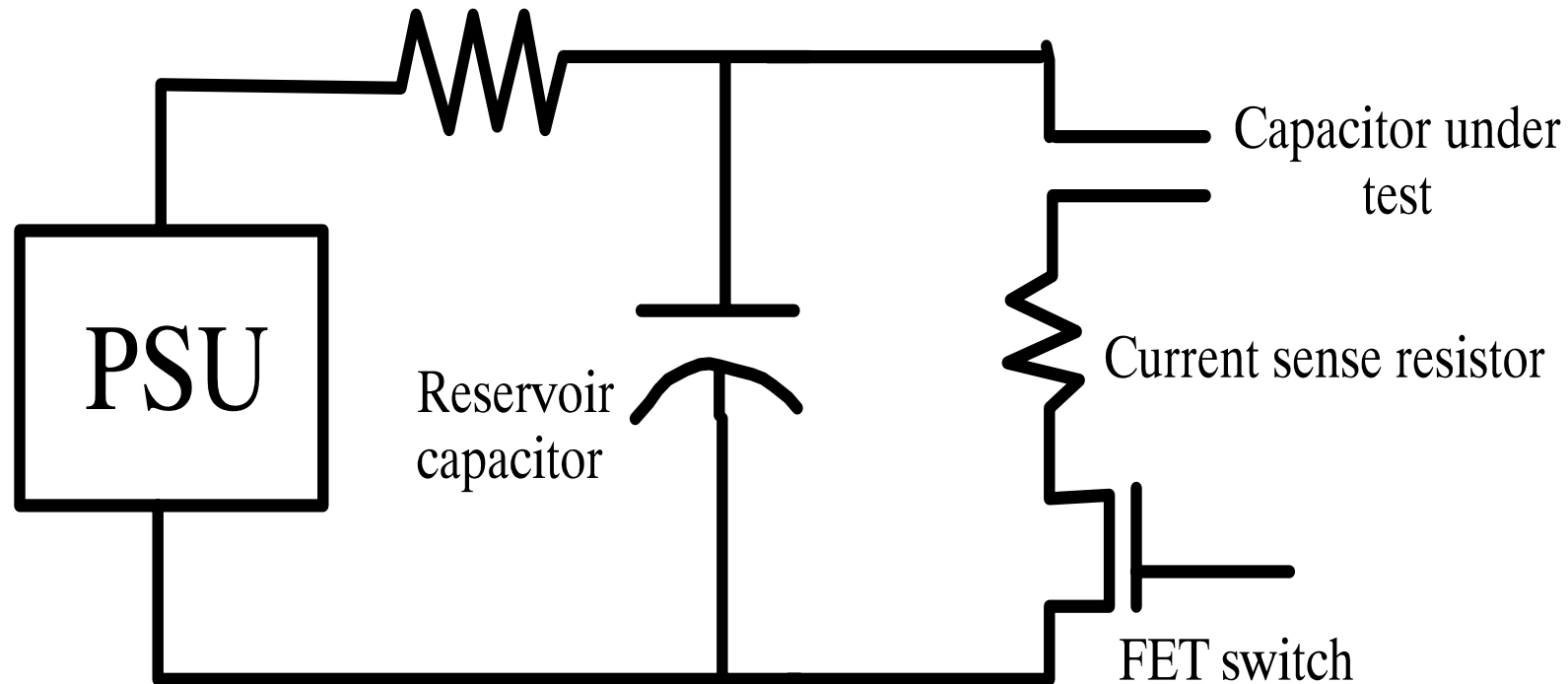
# Dynamic Surge Current Test

- ◆ Fast turn on gives large instantaneous current
- ◆ Capacitors fail at first power up in a low impedance circuit (probability of failure significantly reduces with following power up)
- ◆ Test failures - NOT field issue if the in house test condition are in excess of worst field conditions



# Dynamic Surge Current Test Circuit

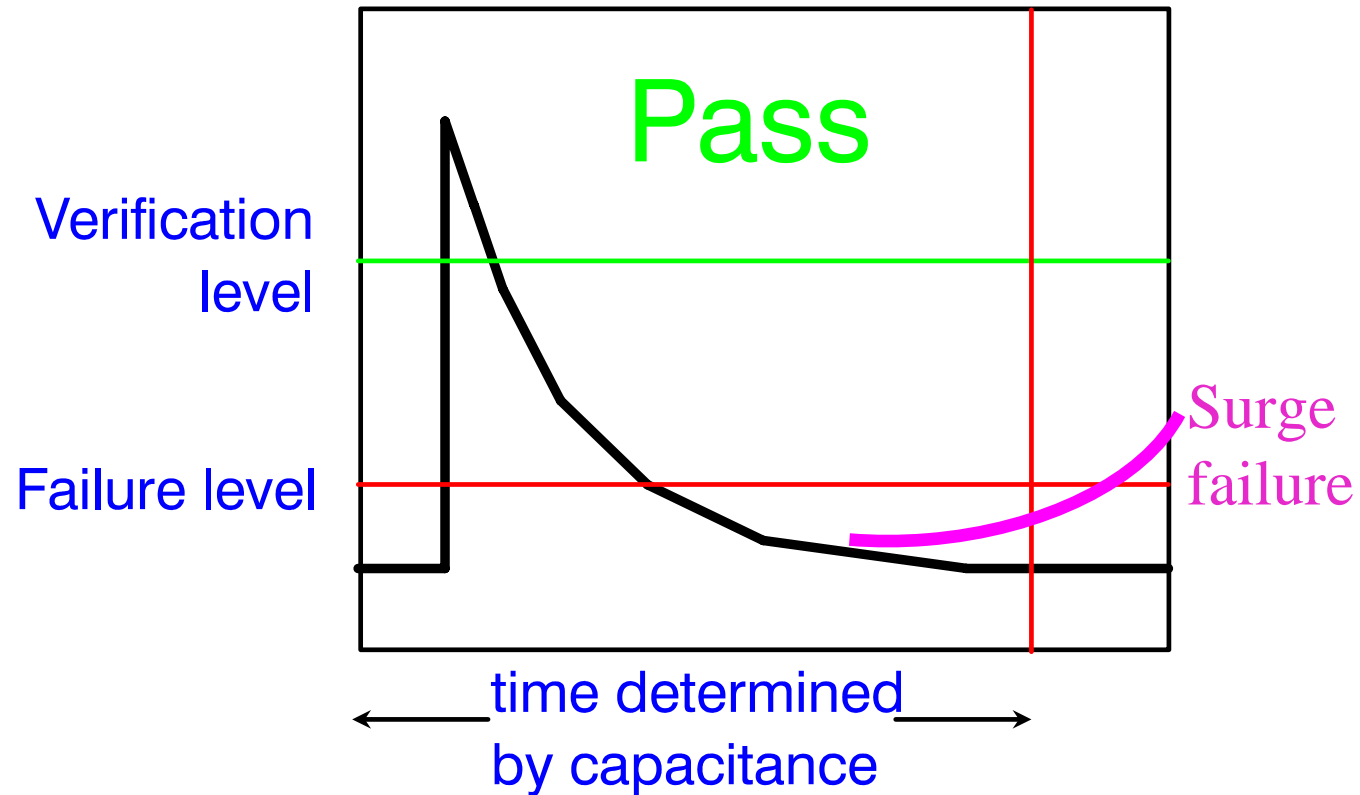
Power supply  
protection resistor



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# Dynamic Surge Current Test Monitoring

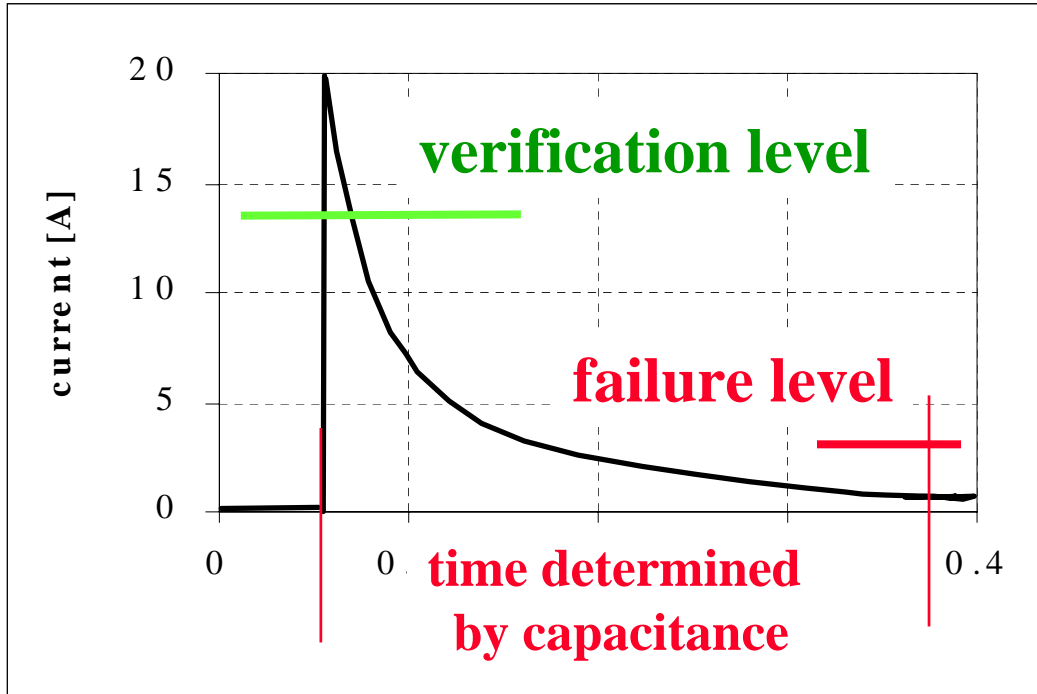


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# AVX 100% Surge Test



$$I_{P_{max}} = \frac{1.1xUr}{(1 + ESR)}$$

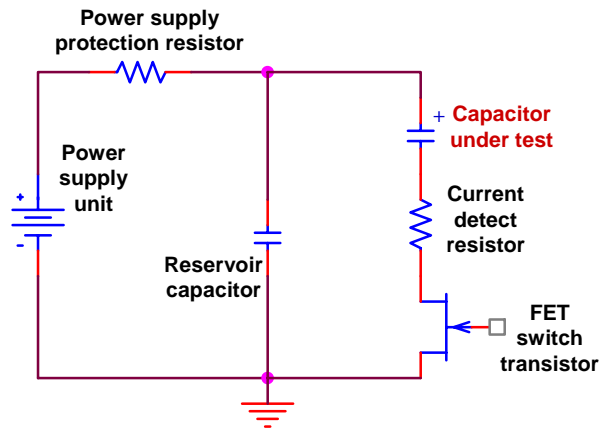
guaranteed total test series resistance Rs

Series Rs Surges  
Ohm min

NOJ, TAJ 1 1x

NOS, TPS, THJ 0.7\* 2x

\* future 0.45 Ohms



**DYNAMIC MONITORING**

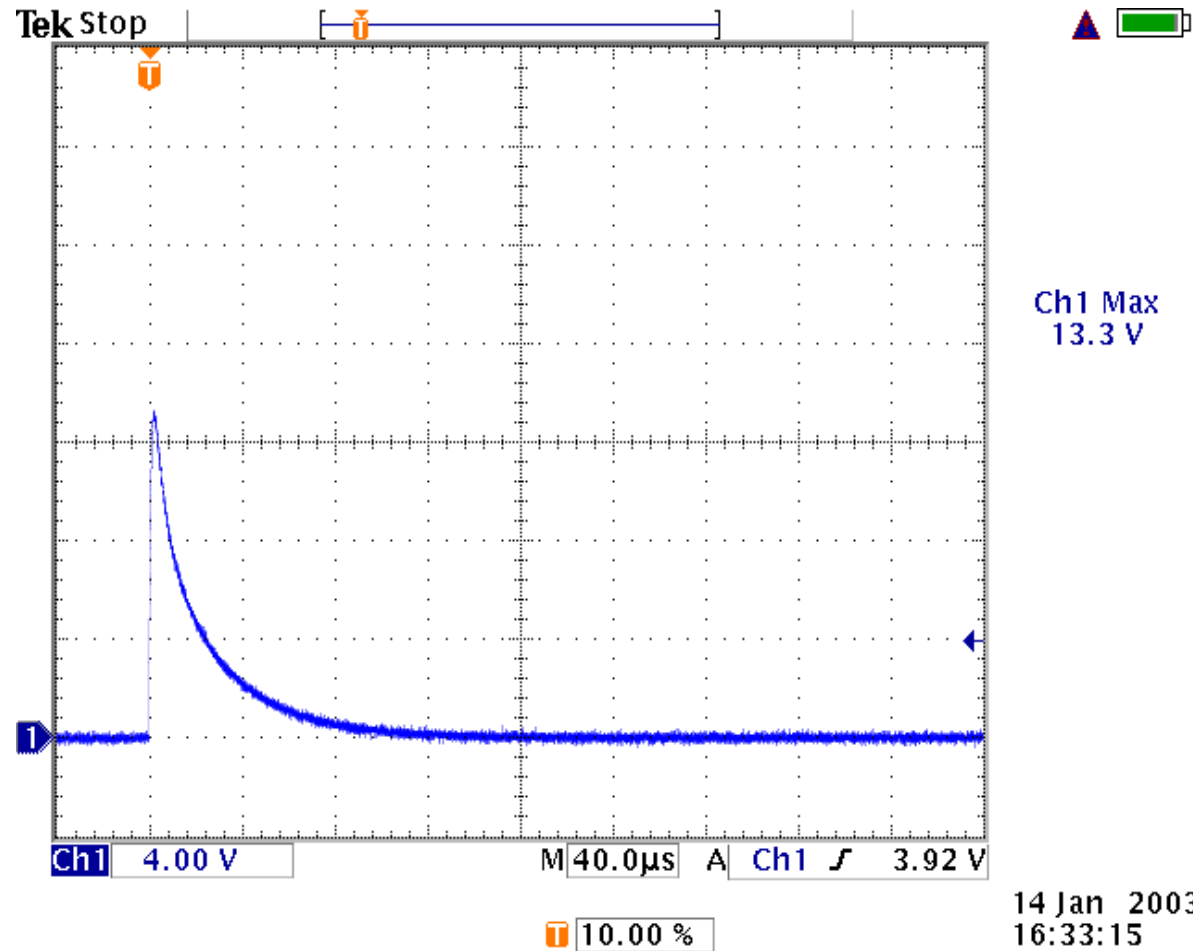
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# Actual 'Hard Surge' Profile

TAJE47/35

SURGE = 78,23A



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# Niobium versus Tantalum

NEW PRODUCT – NIOBIUM OXIDE CAPACITOR

OxiCap™



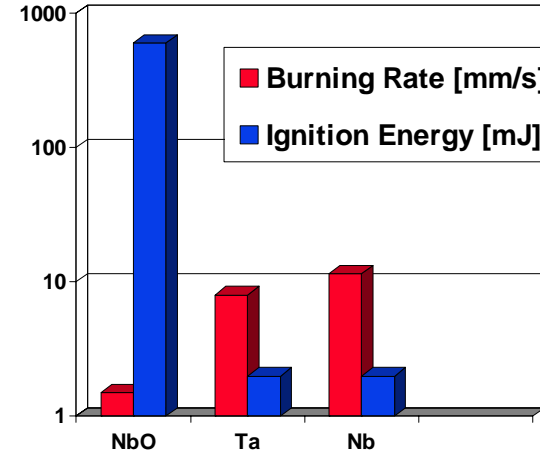
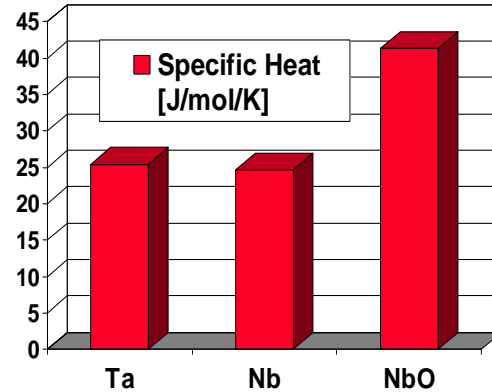
Parameter	TANTALUM	NIOBIUM	NIOBIUM OXIDE
Dielectric	Ta <sub>2</sub> O <sub>5</sub>	Nb <sub>2</sub> O <sub>5</sub>	Nb <sub>2</sub> O <sub>5</sub>
CV	standard	lower-same*	lower-same*
Rated Voltage	2.5 – 50V	4 – 16	2.5 – 10v
Cap tolerance	+/-10%	+/-10% (20%)#	+/- 20%
DCL	0.01CV	0.01 – 0.04CV#	0.02CV (0.01*)
ESR (same anode design)	standard	comparable	comparable
DF	standard	same/higher	same/higher
Ignition Resistance	low	low	very high
Temperature Range	-55 / +125c	-55 / +125 (105)#	-55 / +125c
Basic Reliability	1%/1000hrs	same	0.2%/1000hrs

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# Niobium versus Tantalum

Higher overloading possible on NbO OxiCap™ capacitor



## DERATING

DC/DC power input rating recommendation*			
Rail Voltage	Rated Voltage		
	Ta	Nb	NbO
3.3V	6.3V	6.3V	4V
5V	10V	10V	6.3V

\* at temperature up to 85°C

20% derating sufficient on NbO OxiCap™ capacitor

## Tantalum Capacitors



# Surge Performance OxiCap™ v Tantalum

Superior :

- ◆ By Design
- ◆ By Material Characteristics
- ◆ By Performance Measure

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# OxiCap™ Surge Vs Tantalum

Parameter	End Value	Tantalum	Niobium	Nb Oxide
Powder		Ta metal	Nb metal	NbO
Dielectric	<a href="#"><u>electrical properties</u></a>	Ta <sub>2</sub> O <sub>5</sub>	Nb <sub>2</sub> O <sub>5</sub>	Nb <sub>2</sub> O <sub>5</sub>
Thickness [10 <sup>-9</sup> m/V]	CV	1.7	2.5	2.5
Dielectric Constant [-]	CV	27	41	41
Formation ratio		3:1	4:1	4:1

## Tantalum Capacitors



## OxiCap™ Surge Vs Tantalum

### Example: 6.3 Volt capacitor

$$\text{Tantalum} \quad 3 \times 1.7 \times 6.3 = 32 \text{ nm}$$

$$\text{OxiCap}^{\text{TM}} \quad 4 \times 2.5 \times 6.3 = 63 \text{ nm}$$

I.e. 2 x dielectric thickness for same rated voltage

NB : Electrical Field Stress on the dielectric is 50% reduced.



# OxiCap™ Surge Vs Tantalum

## Example: 6.3 Volt Tantalum Vs 4V OxiCap™

Tantalum  $3 \times 1.7 \times 6.3 = 32 \text{ nm}$

OxiCap™  $4 \times 2.5 \times 4 = 40 \text{ nm}$

I.e. Still 25% thicker dielectric for OxiCap v Tantalum





# OxiCap™ Surge Vs Tantalum

## Example: 6.3 Volt Ta Vs 4V OxiCap™

Used on a 3.3v power rail, the Electrical Field Strength is

Tantalum  $3.3\text{v}/32\text{nm} = 103\text{kV}/\text{mm}$

OxiCap™  $3.3\text{v}/40\text{nm} = 82\text{kV}/\text{mm}$

Electrical Field Strength on the OxiCap is still less than for tantalum despite the difference in 20% v 50% derating



# OxiCap™ Surge Vs Tantalum

## Example: 10 Volt Ta Vs 6.3V OxiCap™

Used on a 4.2v power rail, the Electrical Field Strength is

Tantalum  $4.2\text{v}/51\text{nm} = 82\text{kV}/\text{mm}$

OxiCap™  $4.2\text{v}/63\text{nm} = 67\text{kV}/\text{mm}$

Electrical Field Strength on the OxiCap is still less than for tantalum despite the difference in 20% v 50% derating



# OxiCap™ Surge Vs Tantalum

## Hard current pulse results: (Same current)

	Cycle			
	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>	4 <sup>th</sup>
D 220uF 6V Tantalum	0.121	0.010	0.000	0.000
D 220uF 4V OxiCap™	0.000	0.000	0.000	0.000

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## OxiCap™ Surge Vs Tantalum

Parameter	End Value	Tantalum	Niobium	Nb Oxide
<b>Powder</b>		Ta metal	Nb metal	NbO ceramic
<b>Density</b> [g/cc]	weight, drop test, CV	16.4	8.6	7.3
<b>Ignition Energy</b> [mJ]	<u>resistance to burn</u>	2	2	600
<b>Burning Rate</b> [mm/s]	burning speed	11.5	8	1.5
<b>Specific Heat</b> [J/mol/K]	<u>load resistance</u>	25	25	40

Nb : The higher amount of energy needed to increase the temperature of Oxide reduces the tendency to easily develop hot spots which in turn can lead to thermal breakdown and short-circuit.



# Failure Mode

Typical Breakdown Voltage (4V part):

Polymer: 11 to 15V

OxiCap™: 18 – 24V

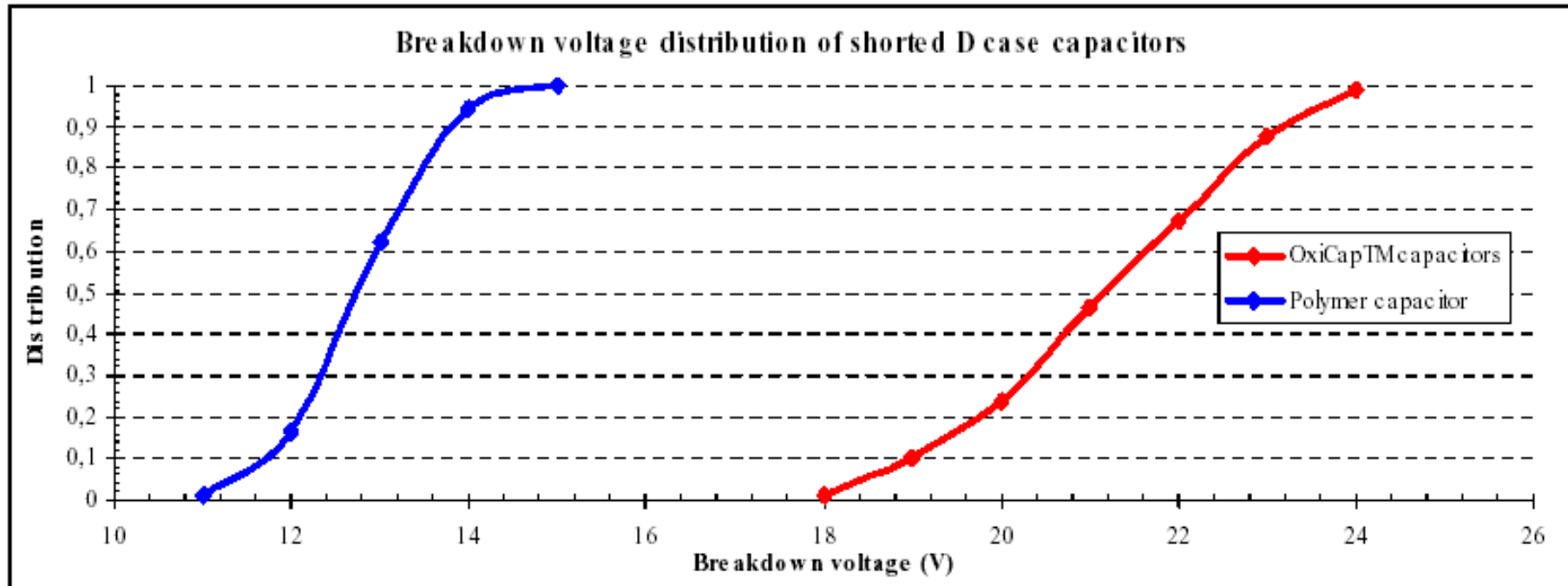


Figure 1: Typical breakdown voltage

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# Failure Mode

Breakdown resistance is a function of voltage. Resistance measured at 0.5V:

Polymer: 0.3 Ohms to 10kOhms with mean 20Ohms = **low resistance**

OxiCap™: 9 Ohms to 1MOhm with mean 34kOhms = **high resistance**

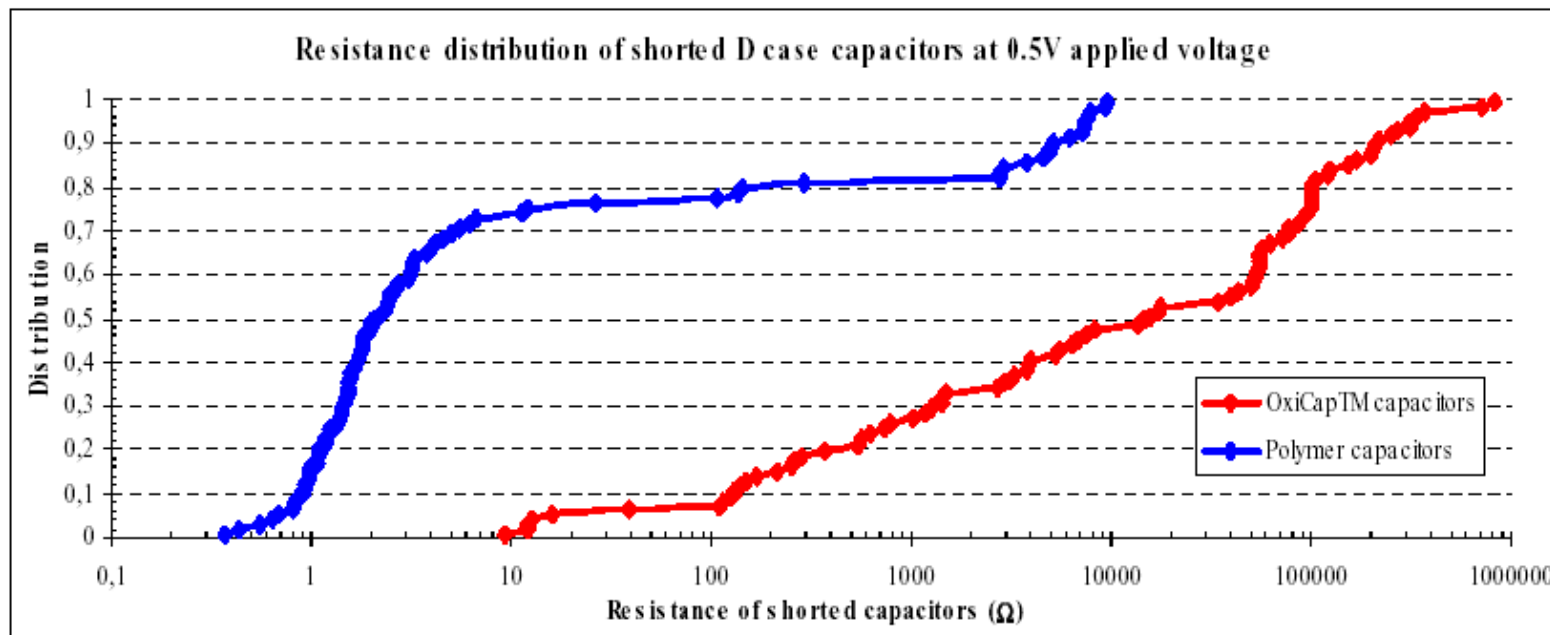


Figure 2: Resistance distribution of shorted capacitors at 0.5V



# Failure Mode

**Failed OxiCap™ Will Not Burn up to Category Voltage**

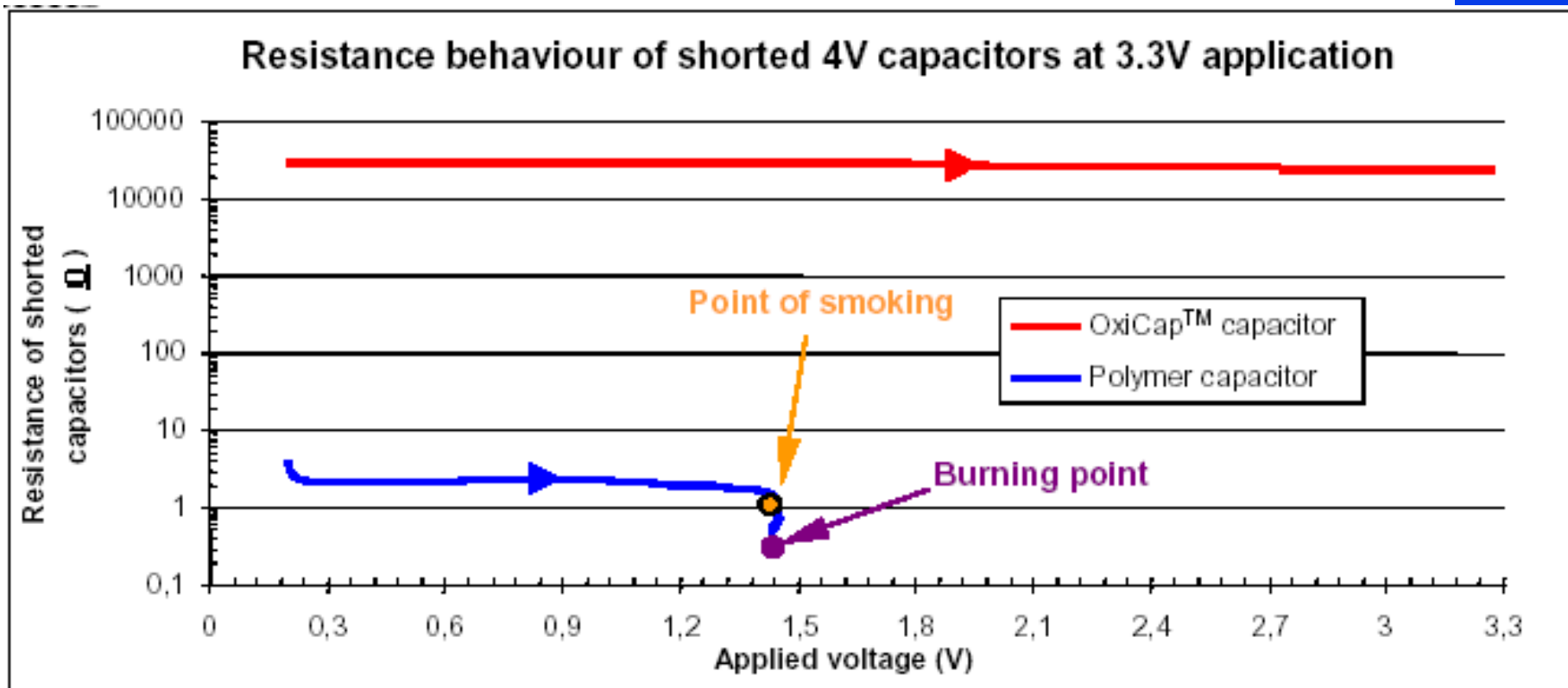


Figure 3: Resistance dependence of shorted capacitors to 3.3V

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## Failed OxiCap™ Will Not Burn up to Category Voltage

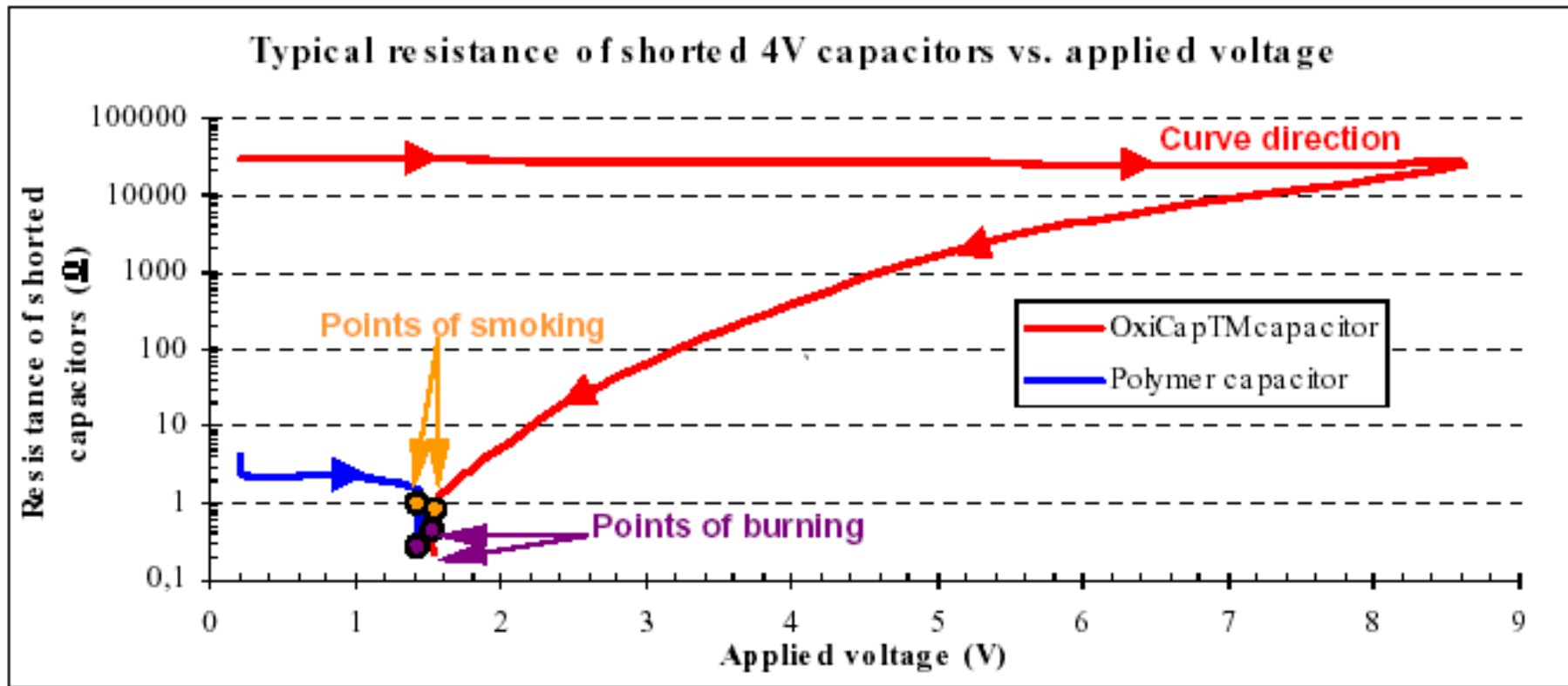


Figure 4: Resistance dependence of shorted capacitors





# Reliability Comparisons

re liability %/1000hrs		specification	typical
Tantalum K=27 FR 3:1	TAJ, TPS	1.00%	0.1 - 0.2%
	THJ, TRJ	0.50%	0.10%
	Military	0.10%	0.01 - 0.1%
	TAC	0.10%	0.04%
OxiCap™ K=41, FR 6:1	NOJ	0.50%	<b>0.01%</b>
	NOS	0.20%	<b>0.01%</b>

## Tantalum Capacitors



## SUMMARY (1)

- ◆ “Voltage” derating is actually to prevent the failure of the capacitor due to an excess “current”.
- ◆ Tantalum capacitors can be used at 80% of their rated voltage, but the MTBF will be lower.
- ◆ The less voltage derating applied the higher the leakage current.
- ◆ If a tantalum must be used across a low impedance source, consider incorporating a PFET integrator to reduce risk of failure
- ◆ 20% derating sufficient for OxiCap™ NbO capacitor
- ◆ Select-a-Cap software is ready to advise the correct part number including typical parameters in the application circuit.



## SUMMARY (2)

- ◆ To provide a higher reliability tantalum series of capacitors for low impedance circuits i.e no voltage de-rating would require :
  - Best in Class Tantalum powders as a design restriction
  - Higher design formation ratio minimum
  - High Shell Formation applied as a design restriction
  
- ◆ To provide verification of performance would require :
  - 100% multiple 'extra hard' surge testing
  - 100% ultra low impedance burn in
  
- ◆ Implications
  - Increased cost (less for OxiCap)
  - Reduced range (extended range types not available)
  - Close co-operation with customers

### Tantalum Capacitors